SERFF Tracking Number: SALA-125958546 State: Arkansas
Filing Company: AIG SunAmerica Life Assurance Company State Tracking Number: 41540

Company Tracking Number: ASE-6243 (12/08)

TOI: A08G Group Annuities - Unallocated Sub-TOI: A08G.003 Deposit Administration

Product Name: Group Transfer Benefit Endorsement

Project Name/Number: /ASE-6243 (12/08)

# Filing at a Glance

Company: AIG SunAmerica Life Assurance Company

Product Name: Group Transfer Benefit SERFF Tr Num: SALA-125958546 State: ArkansasLH

Endorsement

TOI: A08G Group Annuities - Unallocated SERFF Status: Closed State Tr Num: 41540

Sub-TOI: A08G.003 Deposit Administration Co Tr Num: ASE-6243 (12/08) State Status: Approved-Closed

Filing Type: Form Co Status: Reviewer(s): Linda Bird

Authors: Aly Lopez, Laura Disposition Date: 02/13/2009

Bradshaw, Tina Smith

Date Submitted: 02/12/2009 Disposition Status: Approved-

Closed

Implementation Date Requested: On Approval Implementation Date:

State Filing Description:

### **General Information**

Project Name: Status of Filing in Domicile: Pending

Project Number: ASE-6243 (12/08)

Requested Filing Mode: Review & Approval

Date Approved in Domicile:

Domicile Status Comments:

Explanation for Combination/Other: Market Type: Group

Submission Type: New Submission Group Market Size: Small and Large Overall Rate Impact: Group Market Type: Employer, Trust

Filing Status Changed: 02/13/2009 Explanation for Other Group Market Type:

State Status Changed: 02/13/2009

Deemer Date: Corresponding Filing Tracking Number:

Filing Description:

This Endorsement is to be used with group unallocated contracts issued under Pension & Profit Sharing Plans or Deferred Compensation Plans. The Endorsement reimburses any tranfer fees to a group contract owner that transfers a group contract issued by another carrier. In addition, because there are no withdrawal charges in the contract to which this Endorsement will be attached, if this Endorsement is elected, withdrawal provisions and charges, as set forth in the Endorsement, will apply.

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Product Name: Group Transfer Benefit Endorsement

Project Name/Number: /ASE-6243 (12/08)

### **Company and Contact**

### **Filing Contact Information**

Laura Bradshaw, Manager lbradshaw@sunamerica.com 1999 Ave of the Stars (800) 871-2000 [Phone] Los Angeles, CA 90067 (310) 772-6569[FAX]

**Filing Company Information** 

AIG SunAmerica Life Assurance Company CoCode: 60941 State of Domicile: Arizona 1999 Ave of the Stars Group Code: Company Type: Annuity

37th Floor

Los Angeles, CA 90067 Group Name: AIG State ID Number:

(800) 871-2000 ext. [Phone] FEIN Number: 86-0198983

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## **Filing Fees**

Fee Required? Yes
Fee Amount: \$20.00
Retaliatory? No

Fee Explanation: \$20.00 per endorsement x 1 endorsement

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

AIG SunAmerica Life Assurance Company \$20.00 02/12/2009 25689052

Company Tracking Number: ASE-6243 (12/08)

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Product Name: Group Transfer Benefit Endorsement

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# **Correspondence Summary**

## **Dispositions**

Status	Created By	Created On	Date Submitted
Approved- Closed	Linda Bird	02/13/2009	02/13/2009

Company Tracking Number: ASE-6243 (12/08)

TOI: A08G Group Annuities - Unallocated Sub-TOI: A08G.003 Deposit Administration

Product Name: Group Transfer Benefit Endorsement

Project Name/Number: /ASE-6243 (12/08)

## **Disposition**

Disposition Date: 02/13/2009

Implementation Date:
Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

Company Tracking Number: ASE-6243 (12/08)

TOI: A08G Group Annuities - Unallocated Sub-TOI: A08G.003 Deposit Administration

Product Name: Group Transfer Benefit Endorsement

Project Name/Number: /ASE-6243 (12/08)

**Item Status Public Access Item Type Item Name** Certification/Notice Yes **Supporting Document** Application No **Supporting Document** Life & Annuity - Acturial Memo No **Supporting Document** Optional Group Transfer Benefit Yes **Form** 

**Endorsement** 

Company Tracking Number: ASE-6243 (12/08)

TOI: A08G Group Annuities - Unallocated Sub-TOI: A08G.003 Deposit Administration

Product Name: Group Transfer Benefit Endorsement

Project Name/Number: /ASE-6243 (12/08)

### Form Schedule

Lead Form Number: ASE-6243 (12/08)

Review	Form	Form Type	e Form Name	Action	Action Specific	Readability	Attachment
Status	Number				Data		
	ASE-6243 (12/08)	•	t Optional Group n Transfer Benefit	Initial		54	ASE-6243 _12-08_ CLN
		al	Endorsement				12.19.08
		Certificate					_2pdf

#### AIG SUNAMERICA LIFE ASSURANCE COMPANY

### OPTIONAL GROUP TRANSFER BENEFIT ENDORSEMENT

Notwithstanding any provision in the Contract to the contrary, this Endorsement becomes a part of the Contract to which it is attached. If any provision in this Endorsement conflicts with the Contract, the provisions of the Endorsement will prevail.

The Contract is amended as follows:

#### **DEFINITIONS**

**INITIAL PAYMENT AMOUNT** – The amount of the initial transfer of funds held by the Plan under an investment vehicle issued by another carrier made to the Contract.

**GROUP TRANSFER BENEFIT** – Our reimbursement, in full or in part, of the Withdrawal Charge, Market Value Adjustment, if applicable, or other discontinuance charge associated with the Initial Payment Amount. For the purposes of calculating the Withdrawal Charge only, the Group Transfer Benefit will be considered as Purchase Payment. The Group Transfer Benefit shall not exceed [3.00%] of transferred assets.

#### **GROUP TRANSFER BENEFIT PROVISION**

According the terms of this Endorsement, any Group Transfer Benefit will be credited to a Subaccount/Variable Portfolio under the Contract as instructed by the Contract Owner. If We do not receive such instructions, the Group Transfer Benefit shall be credited to the [Cash Management Account] unless and until We receive other instructions for allocation of the Group Transfer Benefit.

The WITHDRAWAL CHARGE section of the WITHDRAWAL PROVISIONS is changed, in its entirety, to read as follows:

#### WITHDRAWAL CHARGE

Withdrawals of all or a portion of the Contract Value are subject to a Withdrawal Charge as shown below. The Withdrawal Charge applies to any Withdrawal of the Initial Payment Amount depending on how long the withdrawn Initial Payment Amount has been invested in the Contract. No Withdrawal Charge is assessed amounts withdrawn which are certified to qualify for a Withdrawal Charge Exemption.

For the purpose of determining the Withdrawal Charges, a Withdrawal will be attributed to amounts in the following order: (1) earnings; and (2) the Initial Payment Amount subject to a Withdrawal Charge.

The Withdrawal Charge will be assessed against the Subaccount/Variable Portfolios and any available Fixed Account Options in the same proportion that the remaining Contract Value is allocated, unless You request that the Withdrawal come from a particular available Fixed Account Option or a Subaccount/Variable Portfolio. If the remaining Contract Value is insufficient to cover the Withdrawal Charge, any remaining balance will be deducted from the Withdrawal amount requested.

Number of Years Elapsed Between the	Withdrawal Charge as a percentage of		
Initial Payment Amount and Year of	Withdrawal of the Initial Payment		
Withdrawal	Amount		
[1]	[3%]		
[2]	[3%]		
[3]	[3%]		
[4]	[2%]		
[5]	[1%]		
[6+]	[0%]		

Signed for the Company to be effective on the Contract Date.

AIG SUNAMERICA LIFE ASSURANCE COMPANY

Mallary L. Reznik Senior Vice President Jana W. Greer President

Company Tracking Number: ASE-6243 (12/08)

TOI: A08G Group Annuities - Unallocated Sub-TOI: A08G.003 Deposit Administration

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## **Rate Information**

Rate data does NOT apply to filing.

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Project Name/Number: /ASE-6243 (12/08)

# **Supporting Document Schedules**

**Review Status:** 

Satisfied -Name: Certification/Notice 12/19/2008

Comments: Attachment:

AR CERT OF COMP SIGNED.pdf

# AIG SUNAMERICA LIFE ASSURANCE COMPANY **1 SUNAMERICA CENTER** LOS ANGELES, CALIFORNIA 90067-6022

# **Certification of Compliance**

AIG SUNAMERICA LIFE ASSURANCE COMPANY does hereby certify that the following listed form(s):

ASE-6243 (12/08)

Do comply with all Sections of the Arkansas Insurance Code and regulations applicable to such insurance policies and related forms, and will be so construed. We also certify that we are in compliance with Rule and Regulation 19 (2nd Revision) regarding Unfair Sex Discrimination in the Sale of insurance.

2 · 2 · 09

Date

Senior Vice President